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| TRANSMITTA | \L | Application Number | 10/738,353 | | | | | | | |
| FORM | | Filing Date | December 17, 2003 | | | | | | | |
| (to be used for all correspondence after initial filing) | | First Named Inventor | Michael Ward | | | | | | | |
| | | Group Art Unit | TBA | | | | | | | |
| | | Examiner Name | TBA | | | | | | | |
| Total Number of Pages in This Sub | mission | Attorney Docket Number | ATMI-677 | | | | | | | |
| ENCLOSURES (check all that apply) | | | | | | | | | | |
| Fee Transmittal Form | Assign | ment Papers Application) | After Allowance Communication to Group | | | | | | | |
| Fee Attached | Drawing | ··· | Annual Canana is attached to Decord of | | | | | | | |
| Amendment / Reply | Licensi | ng-related Papers | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | | | | | |
| After Final | Petition | [| Proprietary Information | | | | | | | |
| Affidavits/declaration(s) | | to Convert to a anal Application | Status Letter | | | | | | | |
| Extension of Time Request | | Attorney, Revocation of Correspondence | Other Enclosure(s) (please identify below): | | | | | | | |
| Express Abandonment Request | | al Disclaimer | | | | | | | | |
| Information Disclosure Statement | Reques | st for Refund | | | | | | | | |
| Certified Copy of Priority Document(s) | CD, Nu | mber of CD(s) | | | | | | | | |
| Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 | Remarks | | | | | | | | | |
| SIGNAT | URE OF APPLI | CANT, ATTORNEY, OR AC | BENT | | | | | | | |
| Firm William F. Ryann, F | teg. No. 44,313 | - | | | | | | | | |
| Signature | | | | | | | | | | |
| Date 4/22/04 | · · · · · · · · · · · · · · · · · · · | | | | | | | | | |
| | CERTIFIC | ATE OF MAILING | <u> </u> | | | | | | | |
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| Type or printed name Lee Ann DiLello | | | | | | | | | | |
| Signature Date 4-36-04 | | | | | | | | | | |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Michael Ward, et al.

Group Art Unit: TBA

U.S. Application No.: 10/738,353

Examiner: TBA

Filed: December 17, 2003

Title: SEMICONDUCTOR ALLOY WITH LOW SURFACE ROUGHNESS, AND METHOD

OF MAKING SAME

CERTIFICATE OF MAILING

I hereby certify that this paper or fee is being deposited pursuant to 37 CFR 1.8

on the date indicated below and is addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA

April 26, 2004

Date

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

■ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required in accordance with 37 CFR § 1.56 and § 1.97(b).

| 2 . | U.S. f | is Information Disclosure Statement is being filed more than three months after S. filing date AND after the mailing date of the first Office Action on the merits fore the mailing date of a Final Rejection or Notice of Allowance. | | | | | |
|------------|--|--|--|--|--|--|--|
| | □ a. | I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of such item by a foreign patent office in a counterpart foreign application, which occurred no more than three months prior to filing the Information Disclosure Statement. 37 C.F.R. §1.97(e)(1). | | | | | |
| | □ b. | I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2). | | | | | |
| | □ c. | Attached is our check no in the amount of \$180 in payment of the fee under 37 C.F.R. §1.17(p). Please credit or debit Deposit Account No. 50-0860 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached. | | | | | |
| □ 3. | U.S. f but be Disclo \$130.0 Depos | This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check no in the amount of \$130.00 in payment of the petition fee under 37 C.F.R. §1.17(i)(1). Please credit or debit Deposit Account No as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached. | | | | | |
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| □ 4. | (other |): | | | | | |

Applicant does not believe that any additional fee is due in connection with the foregoing. However, any deficiencies may be charged to the deposit account 50-0860.

Date: 4-23-04

7 Commerce Drive Danbury, CT 06810 Agent Ref: ATMI-677

Advanced Technology Materials, Inc.

Respectfully submitted,

William F. Ryann

Registration No. 44,313

Agent for Applicant

| FORM PTO 1449 US Dept. of Commerce | | | ATTORNEY DOCKET NO | SERIAL N | SERIAL NO. | | | | | |
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| Parent and Trademark Office | | | ATMI-677 | 10/738,353 | 10/729 252 | | | | | |
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